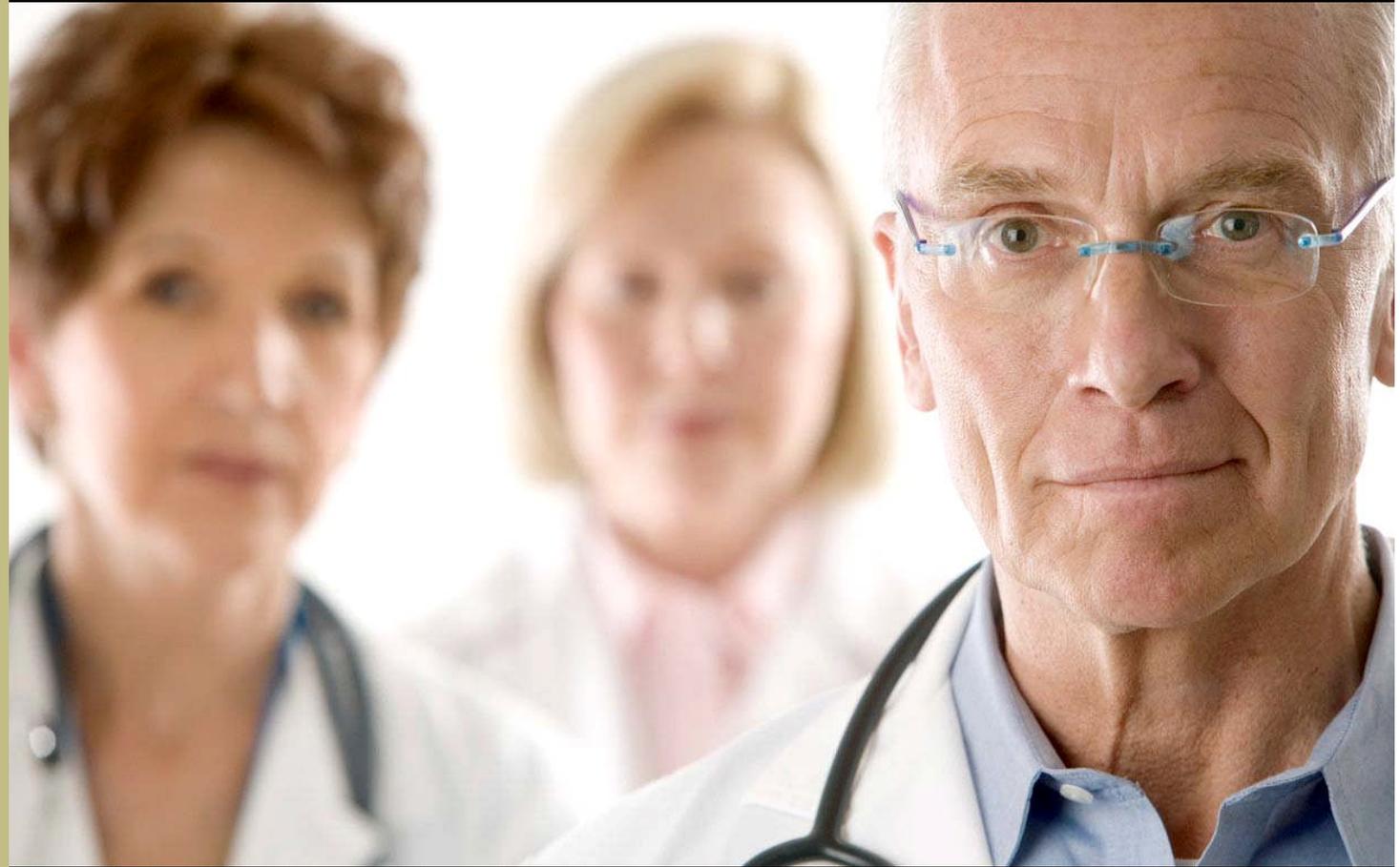


**RSM! McGladrey**



# **Risk Assessment Update**

**May 14, 2009**

## **Risk Assessment Status**

- **Approximately 30% of information requested has been received**
- **Interviews of key CCHHS personnel began in April, 2009**
  - **10 interviews completed as of May 13, 2009**
  - **Approximately 15 interviews to be completed**
- **Risk Assessment and 2-Year Internal Audit Plan to be completed by June 30, 2009**

# Interviews Completed

- **Executive Management (Entity Level) – Strategic Plan**
  - Mike Koetting
- **Information Systems**
  - Steven Zeelau
- **Public Relations**
  - Marcel Bright
- **Government Regulations - HIPAA**
  - Delores Campbell
- **Revenue Cycle**
  - Gretchen Ryan
- **Safety and Environmental**
  - Susanne Klein
- **Inventory**
  - Dan Ruiz
- **Payroll**
  - Maurice Walker
- **Fixed Assets and Capital Expenditure**
  - Kelvin Magee
- **Legal**
  - Deborah Fortier

# Interviews Scheduled

- **Executive Management (Entity Level) – Management Governance and Oversight**
  - Bill Foley
  - Pitt Calkin
  - David Small
  - Benn Greenspan
  - Heather O'Donnell
- **General Accounting**
  - Mamerto Blancaflor
- **Accounts Payable**
  - Kumar Thakkar
- **Government Regulations**
  - Bob Vais
- **Accounts Receivable**
  - Brenda Johnson
- **Human Resources**
  - Cecil Marchand



## Interviews to be Scheduled

- **Procurement**
  - Leslie Duffy
- **Cook County Comptroller's Office**
  - John Morales
- **Treasury**
  - Pending Identification of Individual to Interview
- **Documentation Management**
  - Pending Identification of Individual to Interview
- **Information Systems**
  - Pending Identification of Individuals at Director Level to Interview

## **May 2009 Action Items**

- **Continue to follow-up on outstanding information requested from CCHHS**
- **Continue to work with Noe Reyes to schedule all outstanding interviews/ meetings with key management personnel and process owners**
- **Continue to identify any additional individuals to be interviewed**
- **Target completion date for all interviews and meetings – May 29**
- **Once the Auditor Assistant server for CCHHS is available, create auditable entities in Auditor Assistant software. Target completion date – May 29**

# Time Line



Milestones	March	April	May	June
Establish Project and Communicate Project Objectives to Management				
Information Request (PBC List) – Requested on April 21				
Establish the Interview/Meeting Schedule – On-going				
Create Auditable Entities in Auditor Assistant (AA)				
Interview Key Corporate Management Personnel and Process Owners				
Populate Auditable Entities in AA				
Draft Deliverables (Risk Assessment and a 2-Year Internal Audit Plan)				
Discuss Deliverables with Management				
Finalize Deliverables for presentation to CCHHS Management and Audit Committee				



**Questions?**